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PATENT  
Customer No. 22,852  
Attorney Docket No. 7451.0034-00  
InterTrust Ref. No.: IT-36.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Stephen P. WEEKS et al. ) Group Art Unit: 2131  
)  
Application No.: 09/863,199 ) Examiner: Gail O. HAYES  
)  
Filed: May 21, 2001 )  
)  
For: TRUST MANAGEMENT )  
SYSTEMS AND METHODS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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APR 02 2004  
Technology Center 2100

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed, to the best of our knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

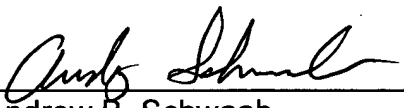
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 31, 2004

By:   
Andrew B. Schwaab  
Reg. No. 38,611

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In re Application of: Stephen P. WEEKS et al.

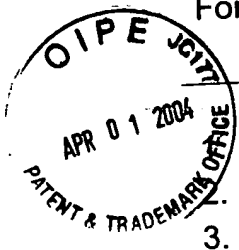
Application No.: 09/863,199

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Examiner: Gail O. HAYES

For: TRUST MANAGEMENT SYSTEMS AND METHODS



Information Disclosure Statement under 37 C.F.R. §1.97(b) (2 pages);  
Form PTO-1449 (2 pages);  
3. Copies of 29 References.

Dated: April 1, 2004

Docket No.: 07451.0034-00000

Attorney: Andrew B. Schwaab

Mail Drop: CA

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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0034-00000	Appln. No.	09/863,199
Applicant	Stephen P. Weeks, et al.		
Filing Date	May 21, 2001	Group:	2131

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,827,508	05/02/89	Shear			
	5,530,235	06/25/96	Stefik et al.			
	5,534,975	07/09/96	Stefik et al.			
	5,629,980	05/13/97	Stefik et al.			
	5,634,012	05/27/97	Stefik et al.			
	5,638,443	06/10/97	Stefik et al.			
	5,715,403	02/03/98	Stefik			
	5,892,900	04/06/99	Ginter et al.			
	5,920,861	07/06/99	Hall et al.			
	5,943,422	08/24/99	Van Wie et al.			
	5,982,891	11/09/99	Ginter et al.			
	6,112,181	08/29/00	Shear et al.			
	6,157,721	12/05/00	Shear et al.			
	6,185,683	02/06/01	Ginter et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	EP 0 715 247 A1	06/96	EPO			
	WO 97/43761	11/20/97	PCT			
	WO 98/10381	03/12/98	PCT			
	WO 99/01815	01/14/99	PCT			

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

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Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
APR 01 2004	WO 01/06374	01/25/01	PCT			
	WO 01/10076	02/08/01	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Menezes, A. J. et al., <u>Handbook of Applied Cryptography</u> , CRC Press, Oct. 1996. ISBN 0-8493-8523-7 (hardcover).
	Muchnick, Steven S., <u>Advanced Compiler Design and Implementation</u> , Morgan Kaufmann, Hardcover, Published July 1997. ISBN 1-5586-0320-4.
	Schneier, B., <u>Applied Cryptography</u> , 2d ed., John Wiley & Sons, Oct. 1995. ISBN 0-4711-1709-9 (paperback).
✓	Olin Sibert et al., "DigiBox: A Self-Protecting Container for Information Commerce," Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, pp. 1-9.
	Olin Sibert et al., "Securing the Content, Not the Wire, for Information Commerce," InterTrust Technologies Corporation, 1996, pp. 1-12.
	Stefik, M., "Trusted Systems," Scientific American, March 1997, pp. 78-81.
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Xerox PARC, Palo Alto, CA, 1994-1995, pp. 1-35.
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	Stefik, M., "Chapter 7, Classification, Introduction to Knowledge Systems," Morgan Kaufmann Publishers, Inc., 1995, pp. 543-607.

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